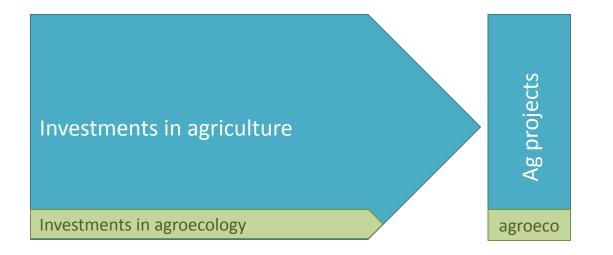
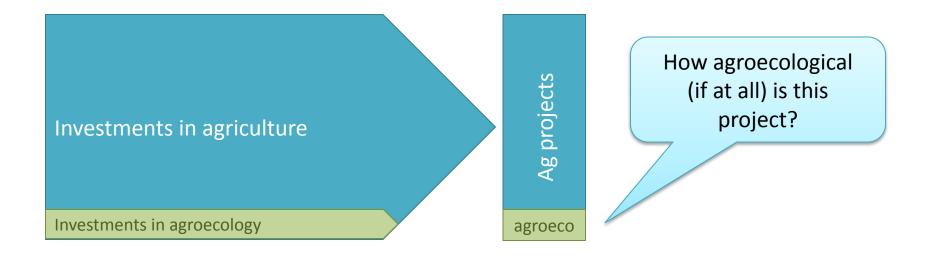
The Agroecology Assessment Framework

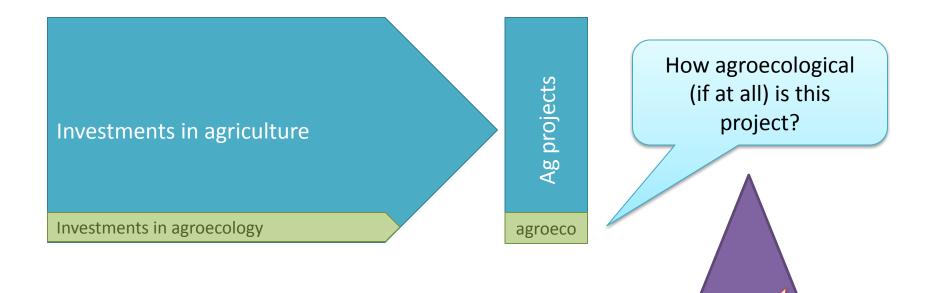
Investments in agriculture

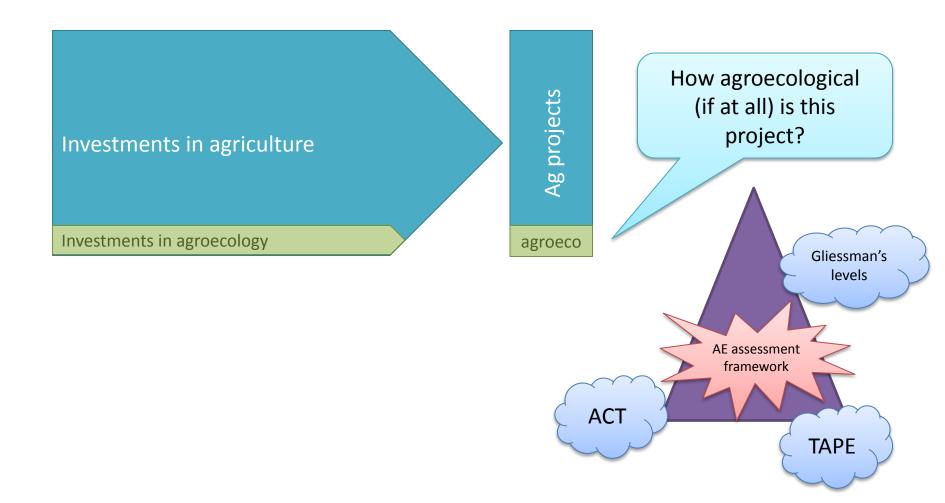
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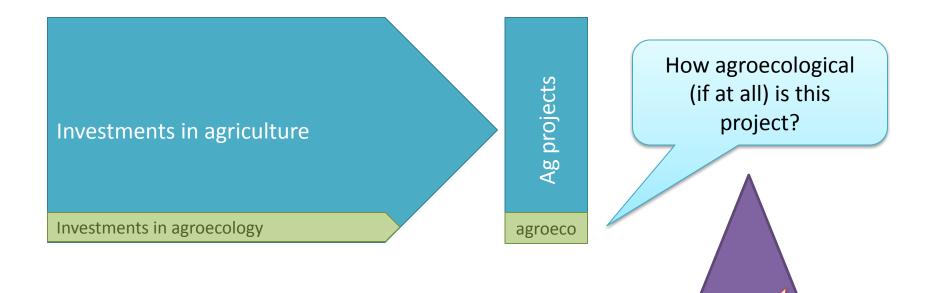
Investments in agroecology

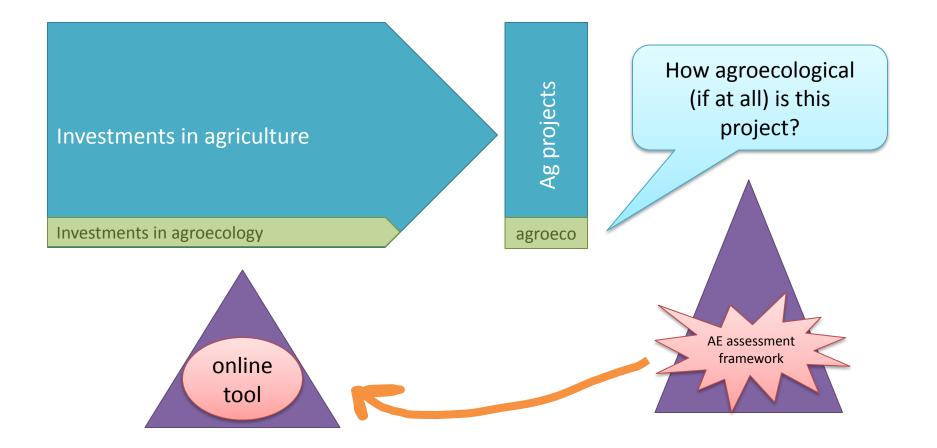


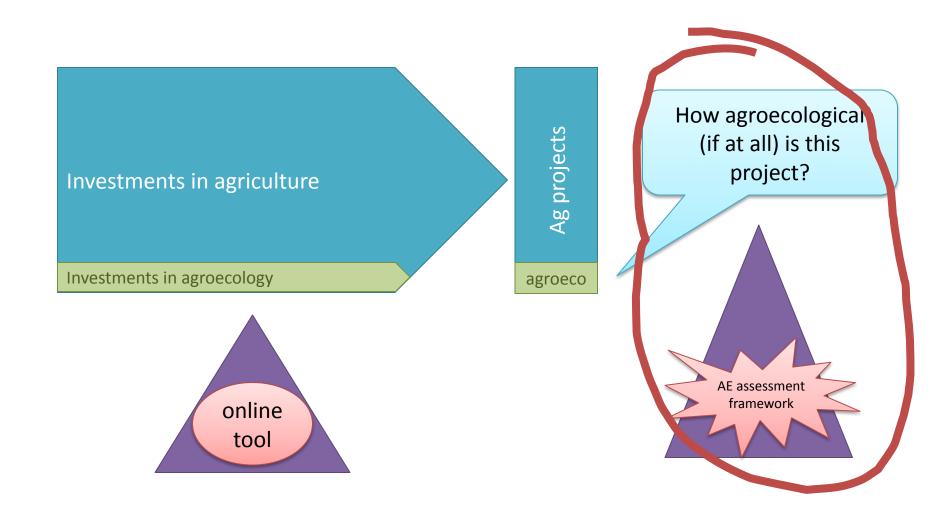


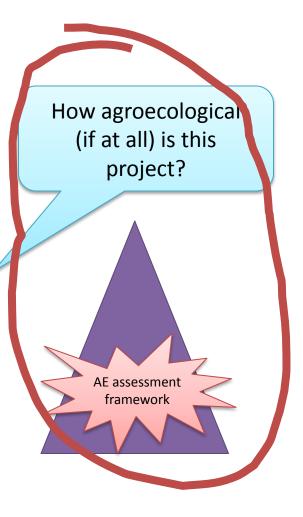


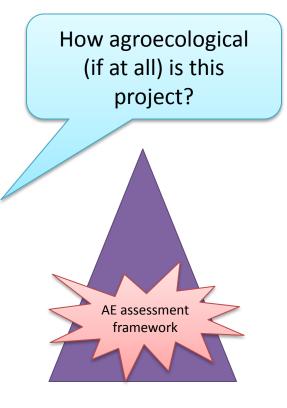




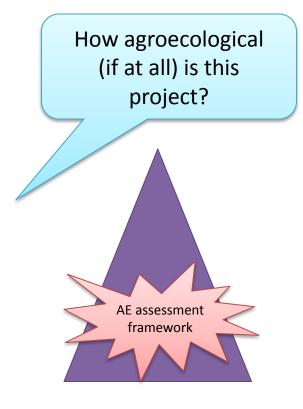


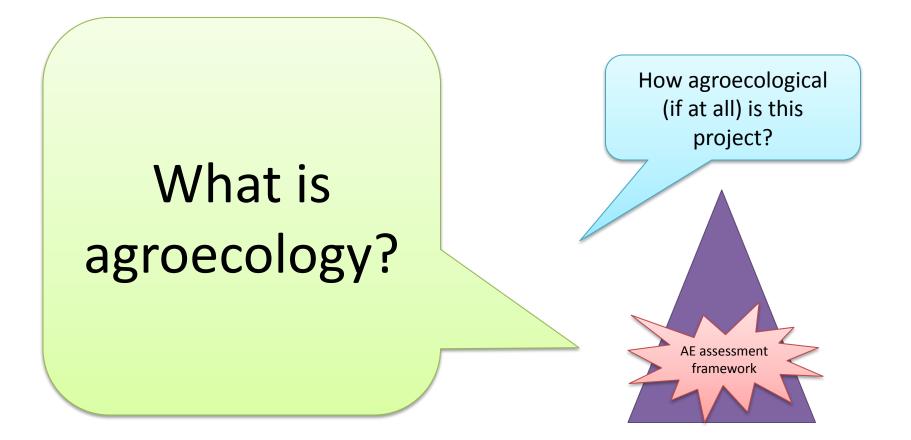






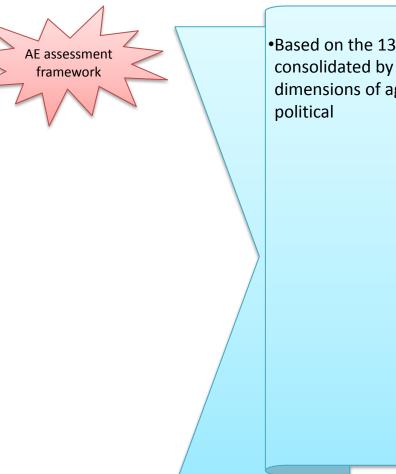












AE assessment
framework

•Based on the 13 principles of agroecology (that were consolidated by the HLPE in 2019) – thereby covering all dimensions of agroecology: environmental, social, economic, political

• Based on qualitative evaluation of a project or initiative (avoiding simplification of complexities)

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- Introduces concept of "red flags" thereby contributing to a radical interpretation of agroecology
- Introduces concept of "always applicable principles" thereby ensuring that social justice dimension of agroecology cannot be ignored

Red Flags of the Agroecology Assessment Framework

GMOs	Project introduces GMOs and associated genome-editing technologies		
Synthetics	Project focuses on the promotion of synthetic fertilizers and pesticides		
Monoculture	Project focuses exclusively on promoting extensive single cash crop production at the expense of diversified strategies		
Productivity Project focuses exclusively on productivity resulting in avoidable destruities vital ecosystems and their functions and services			
Seed Systems	Project actively promotes regulations and/or actions that hamper and/or destroy local and farmer-managed seed systems		
Factory Farming	Project focuses on large-scale intensification of animal production		
Women & marginalised groups	Project excludes or actively discriminates against women and other marginalised groups		
Project focuses exclusively on promoting highly processed, industrially prfoods (with low nutrient value)			
Extractivism	sm Project promotes extractive raw material production that depletes local resource over time over time		
Human Rights	Project promotes approaches that violate rights, including customary rights, ignores prior informed consent or results in population displacement and/or land grabbing		

Principle	AE Score	Spectrum of values	Tags / Criteria / Indicators / Examples for high score
	2	Deliberately and actively preserves and enhances soil health through explicit design for improving soil biological activity and structure and preserving soil erosion	Monitor/assess soil health and biological activity to evaluate practices Holistic approach using multiple
Soil health	1	PARTIALLY	practices to deliberately enhance soil health incl carbon sequestration • e.g. vermicomposting, permaculture, natural farming, integrated diversified farming, organic agriculture • mulching, organic matter addition, cover crops
	_ ∧	Does not focus on soil health and may use practices undermining soil health	minimum tillagedeliberate fallow periods
	n/a	Project does not address agricultural production system	animal integration for manure land use management & prevention of soil erosion (terracing, zai pits,)

Principle	AE Score	Spectrum of values	Tags / Criteria / Indicators / Examples for high score
	~	health through explicit design for improving soil biological activity and structure and preserving soil erosion	Holistic approach using multiple
Soil health	1		 practices to deliberately enhance soil health incl carbon sequestration e.g. vermicomposting, permaculture, natural farming, integrated diversified farming, organic agriculture mulching, organic matter addition, cover crops
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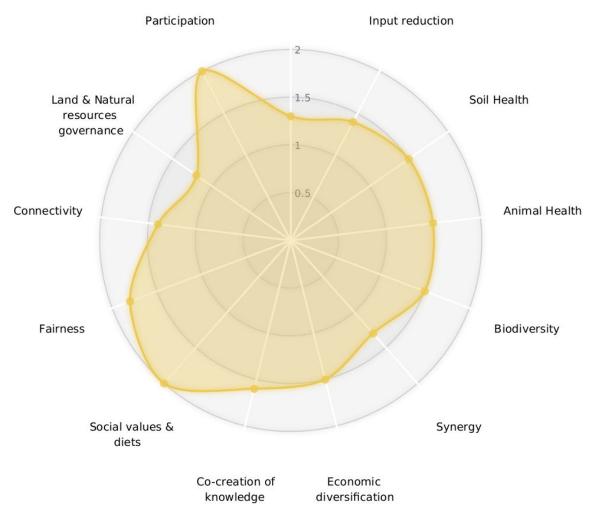
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5	n/a	Project does not address agricultural production system	animal integration for manure land use management & prevention of soil erosion (terracing, zai pits,)

Principle	AE Score	Spectrum of values	Tags / Criteria / Indicators / Examples for high score
2	2	supports dignified and robust livelihoods for all actors engaged in food systems, especially small-scale food	Fair trade and fair prices in local, regional and international markets Decent jobs and working conditions for all actors in agri-food system
	1	PARTIALLY	social mechanisms to reduce vulnerability Producers and consumers organisations Dignified livelihoods especially for
	<u> </u>	injustices in trade and legal arrangements	smallholders
	x	THIS PRINCIPLE IS ALWAYS APPLICABLE	Protection of traditional knowledge and promotion of fair intellectual property rights, e.g. Open Source Seeds Equitable and collective ownership
			models

Principle	AE Score	Spectrum of values	Tags / Criteria / Indicators / Examples for high score
Fairness	2	supports dignified and robust livelihoods for all actors engaged in food systems, especially small-scale food	Fair trade and fair prices in local, regional and international markets Decent jobs and working conditions for
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Recycling



Calculation of overall "agroecology score" (important for calculation finance flows/budgets!)

We use the following formula to calculate the overall "agroecology score", which is also the percentage of the project budget which can be considered to be in support of agroecology:

[sum of the individual ratings multiplied by 100] divided by [number of applicable principles multiplied by 2]

This means that we firstly add up the ratings of each individual principle – for example: 1.3 + 1.5 + 1.5 + 1.5 + 2 + 1.4 + 2 + 1.4 + 1.5 + 1.3 + 1.6 + 1.8 + 1.2 = 20

We then multiply this by 100: $20 \times 100 = 2000$

Following that, we take the number of applicable principles (which in this case is 13 as all principles were applicable to this project) and multiply this by 2: $13 \times 2 = 26$

We then divide our first figure by our second figure: 2000 : 26 = 76.923076923

The overall score for this example project is hence 77%. We can therefore consider that 77% of the total project budget are used in support of agroecological development.